



RECEIPT
#5

PATENT
601-1-088 N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : JEFFREY WILUSZ AND LANCE P. FORD
SERIAL NO.: 09/320,609 EXAMINER: UNKNOWN
FILED : MAY 26, 1999 ART UNIT: 1648
FOR : SYSTEM FOR REPRODUCING AND MODULATING STABILITY
AND TURNOVER OF RNA MOLECULES

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC 20231 on October 26, 1999.

Michael A. Yamin Reg. No. 44,414

(Name of Registered Representative)

Dyan R. Melucci - 10/26/99
(Signature and Date)

REQUEST FOR CORRECTED FILING RECEIPT

ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, DC 20231

Dear Sir:

Applicants hereby request that another Corrected Formal Filing Receipt (PTO-103X) be issued to add the following.

Please add the words:

-- SMALL ENTITY --

to the Filing Receipt. Enclosed please find a copy of the Transmittal Form and a copy of the Verified Statement filed on August 25, 1999.

A copy of the Filing Receipt with the requested addition marked in red is also enclosed.

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99 NOV - 2 AM 8:38

Applicant accordingly requests that the records of the U.S. Patent and Trademark Office
be corrected to reflect the above.

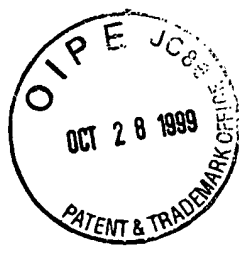
Respectfully submitted,



Michael A. Yamin
Attorney for Applicant(s)
Registration No. 44,414

KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, NJ 07601
(201) 487-5800

8/25/99



Serial No. 09/320,609 File No. 601-1-088N By DAJ/MAY/tlc
Title SYSTEM FOR REPRODUCING AND MODULATING...
In the Matter of the Application of WILUSZ ET AL.

The following was received in the U.S. Patent & Trademark Office on the date
stamped hereon: VIA FIRST CLASS MAIL
X RESPONSE TO NOTICE TO FILE MISSING PARTS

- | | |
|---|--|
| <input checked="" type="checkbox"/> Transmittal Sheet/Cover Ltr. | <input type="checkbox"/> Extension of Time |
| <input type="checkbox"/> Application For Patent | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Affidavit (exec .) | <input type="checkbox"/> Maintenance Fee |
| <input type="checkbox"/> Drawings <input type="checkbox"/> Sheet(s) | <input type="checkbox"/> Appln. TM Registration |
| <input checked="" type="checkbox"/> S.E. Verified Statements | <input type="checkbox"/> 8 & 15 Declaration |
| <input checked="" type="checkbox"/> Assignment <u>recordal</u> | <input type="checkbox"/> Renewal Application |
| <input checked="" type="checkbox"/> Check for \$ <u>800.00</u> | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Letter <input type="checkbox"/> Missing Parts | <input type="checkbox"/> Brief <input type="checkbox"/> Petition |
| <input type="checkbox"/> Priority Document | <input type="checkbox"/> Power of Attorney |
| <input type="checkbox"/> Amendment <input type="checkbox"/> Response | <input checked="" type="checkbox"/> <u>Fee Transmittal Form</u> |

KLAUBER AND JACKSON
SPECIAL ACCOUNT
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HACKENSACK, NJ 07601

10665

PAY TO THE ORDER OF COMMISSIONER OF PATENTS AND TRADEMARKS

DATE 8/25/99

55-216/212
11

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Eight hundred and 00/100

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THIS CHECK IS DELIVERED IN CONNECTION WITH THE FOLLOWING ACCOUNTS:

601-1-088N			
09/320609			
MP			

Joann Rodgers

⑈010665⑈ ⑆021202162⑆ 134⑈00888 7⑈

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PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Application Number	09/320,609
Filing Date	May 26, 1999
First Named Inventor	Jeffrey Wilusz
Group Art Unit	1648
Examiner Name	Unassigned
Attorney Docket Number	601-1-088 N

Total Number of Pages in This Submission 11

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Postcard Check
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael D. Davis
Signature	Michael D. Davis
Date	August 25, 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 08/25/99

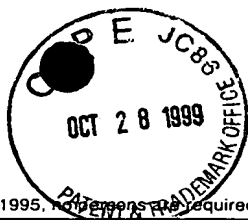
Typed or printed name Tracie Calderone

Signature

Date

08/25/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Approved for use through 9/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
These are the fees effective October 1, 1997.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 800.00

Complete if Known

Application Number 09/320,609
Filing Date May 26, 1999
First Named Inventor Jeffrey Wilusz
Examiner Name Unassigned
Group / Art Unit 1648
Attorney Docket No. 601-1-088 N

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 11-1153
Deposit Account Name Klauber & Jackson

☐ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	380.
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1) (\$)			380.

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid
Total Claims 55 -20** = 35 x 9 = 315.
Independent Claims 3 -3** = (1) x 39 = 0
Multiple Dependent (1) = (1)

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 22	203 11	Claims in excess of 20	
102 82	202 41	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 82	209 41	** Reissue independent claims over original patent	
110 22	210 11	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			315.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			105.00

SUBMITTED BY

Typed or Printed Name Michael D. Davis

Signature Michael D. Davis

Date 08/25/99

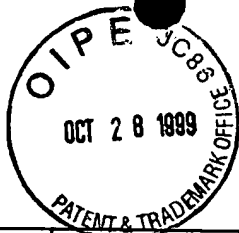
Complete (if applicable)

Reg. Number 39,161

Deposit Account User ID 11-1153

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FILING RECEIPT
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/320,609	05/26/99	1648	\$760.00	601-1-088N	8	55	3

KLAUBER & JACKSON
411 HACKENSACK AVENUE
HACKENSACK NJ 07601

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SEP 09 1999

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) JEFFREY WILUSZ, SOUTH AMBOY, NJ; LANCE P. FORD,
CRANFORD, NJ.

CONTINUING DATA AS CLAIMED BY APPLICANT-
PROVISIONAL APPLICATION NO. 60/086,675 05/26/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/24/99
TITLE

SYSTEM FOR REPRODUCING AND MODULATING STABILITY AND TURNOVER OF RNA
MOLECULES

PRELIMINARY CLASS: 436

SMALL ENTITY

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DATA ENTRY BY: TUTT, TONDENICA

TEAM: 01 DATE: 09/02/99

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(See reverse for new important information)